



# What You need to Know About **CHAPMAN'S POLICIES**

Overview of our Policies | Compliance Week 2021



## Whistleblower Policy

Highlights Chapman's commitment to non-retaliation for reports made in good faith and encourages all members of the Chapman community to report suspected violations of law or Chapman Policy.



## Code of Ethics

Outlines ethical ideals we aim to strive for as a Chapman community, like avoiding conflicts of interest and valuing diversity. Includes directions on how and when to report violations of code of ethics.



## Fraudulent Activities

Describes Chapman's process for identifying and investigating all instances and allegations of fraudulent activities regarding university funds that involve Chapman resources, property, staff, faculty, students, and other parties. Includes reporting procedures.



## Cooperation with Investigations

All employees must cooperate fully with Institutional Compliance investigations when there is a report of ethical misconduct. The confidentiality of individuals who cooperate will be maintained to the extent possible by law.



## Reporting Misconduct

Emphasizes that Chapman requires all members to promptly report suspicion of wrongdoing to the proper authorities for investigation. Includes categories of misconduct and who reports should be made to.



## Record Retention and Matrix

Outlines Chapman University's policy for handling outdated and current records in accordance with federal and state laws. Includes record retention schedule.



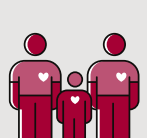
## Mandated Reporter

Requires all Chapman University employees or volunteers to report any known or suspected abuse or neglect relating to children/minors, elderly or dependent adults. Instructions for reporting can also be found in the policy, including the appropriate forms.



## Admissions Guidelines (FAQ) for Government Boards

The frequently asked questions (FAQ) guide provides answers to common questions concerning Chapman University undergraduate admissions and its role related to faculty and staff.



## Protecting Minors

Describes the requirements placed on administrators, faculty, staff, students and other members working with minors. Includes instructions for staff/faculty working with minors in different situations, as well as guidelines for screening and training staff and faculty.



## Institutional Conflict of Interest for Employees

Defines conflict of interest and how conflicts of interests may arise. Employees who become aware of a potential conflict of interest are required to disclose the conflict as prescribed in the policy.

If you have any questions about [Chapman's policies](#), feel free to reach out to us at [compliance@chapman.edu](mailto:compliance@chapman.edu)! To learn more about reporting, visit [chapman.edu/compliance](http://chapman.edu/compliance)