



Investigating Definability in Propositional Logic via Grothendieck Topologies and Sheaves

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- We mostly consider **definability** question like: how could it be that a seemingly poor propositional language is in fact so rich and so expressive? As we will see, definability problems are also related to **solving equations** in appropriate free or extension algebras.
- The above questions are formulated in **syntactic terms**; despite their purely symbolic nature, investigating them can take benefit from embeddings into **geometric** environments.
- **Sheaves** over **Grothendieck topologies** supply such environments, to be coupled with appropriate **combinatorial** components (**Ehrenfeucht-Fraïssé Games**).

- 1 Intuitionistic Logic
- 2 Sheaf Representation and Duality
- 3 Images and Constraint Solving
- 4 Fixpoints and Periodicity
- 5 Solving Equations via Projectivity

Heyting Algebras

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where $\langle H, \wedge, \vee, \perp, \top \rangle$ is a distributive lattice with zero and one and where the 'relative pseudocomplement' operation \rightarrow satisfies the adjointness condition:

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Intuitionistic Propositional Logic is the set of formulae (built up from countably many variables using the connectives $\wedge, \vee, \perp, \top, \rightarrow$) which evaluate to \top in any Heyting algebra, no matter how variables are interpreted as elements of the support of that algebras.

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The relation $t \vdash u$ is conveniently described by a suitable logical calculus (like natural deduction, sequent calculus, tableau calculus, etc.), but we do not need to care about the calculus (the problems we investigate are independent on a specified calculus).

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In all the above cases, the underlying lattice is **complete** and is a locale (infinite Joins distribute over finite meets); the relative pseudocomplement (as well as all other operations) is uniquely determined by the lattice order.

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- **Images and dual images** along morphisms;
- **Least and Greatest Fixpoints** (for monotonic endomaps);
- **Difference** (dual of implication, in case the dual algebra is a locale).

Heyting Algebras

Suppose in fact that our Heyting algebra \mathcal{H}_X is the Heyting algebra of sub-(pre)sheaves (of opens sets) of a (pre)sheaf (topological space) X and that we are given a natural transformation (open continuous map) $f : Y \rightarrow X$, then we can compute images and dual images

$$\exists_f : \mathcal{H}_Y \rightarrow \mathcal{H}_X \quad \forall_f : \mathcal{H}_Y \rightarrow \mathcal{H}_X$$

as left and right adjoints to the inverse image morphism $f^* : \mathcal{H}_X \rightarrow \mathcal{H}_Y$.

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as left and right adjoints to the inverse image morphism $f^* : \mathcal{H}_X \rightarrow \mathcal{H}_Y$. If $M : \mathcal{H}_X \rightarrow \mathcal{H}_X$ is a monotonic map, we can compute the least fixpoint by (possibly transfinite) iterations

$$\perp \leq M(\perp) \leq M(M(\perp)) \leq \dots$$

and similarly for the greatest fixpoint.

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In the final part of the talk we shall analyze the impact of the definability results on **logical applications**.

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To do this, we need to embed our category $\mathcal{H}\mathcal{A}_{fp}^{op}$ in a larger category (where images, fixpoints, etc. exist) and to find extra structure to recover our original category, via duality.

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The dual of an algebra/theory is the space of its points/models (in the Boolean case, the dual of B is the set $\text{Hom}[B, 2]$ of the homomorphisms of B into the truth value algebra - this is nothing but the set of models of B , if we view the algebra B 'as a theory' - which is technically correct, modulo some explanations we omit).

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However, going beyond the classical case, the situation becomes more involved: models must be structured!

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- sheaf theoretic images are in fact 'definable' because they are closed under bounded (sufficiently high bounded!) bisimulation;
- hence images exist in $\mathcal{H}A_{fp}^{op}$.

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A similar strategy has been used for many other questions, for positive and negative results (definability of difference, existence of fixpoints via periodicity, regularity of epis and monos, characterization of projectivity, effectiveness of equivalence relations, etc.).

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The geometric overview of the problems usually does not solve them (especially if they are non trivial), but indicates what one has to look for and how combinatorial arguments should finally be employed.

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$f : Q \rightarrow P$ is a **p -morphism** iff it is order-preserving and moreover satisfies the following condition for all $q \in Q, p \in P$

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Covers are simple to describe here: C is a **cover** of P iff it contains a **surjective** map $f : Q \rightarrow P$.

The geometric component

The typical sheaf we use is **the sheaf of L -evaluations**

$$h_L := \text{Hom}(-, L)$$

(the Hom is taken into the category of posets) for a finite poset (L, \leq) : in case L is the powerset of a finite set ordered by reverse inclusion, this is the **sheaf of finite Kripke models** (over a finite propositional language).

The geometric component

We have a functor

$$\Phi : \mathcal{HA}_{fp}^{op} \longrightarrow \mathit{Sh}(P_0, J_0)$$

sending a finitely presented Heyting algebra H to a sheaf

$$\Phi(H) = [P \mapsto \mathcal{HA}(H, \mathcal{D}(P))]$$

(i.e. $\Phi_H(H)$ associates to every finite rooted poset P the set of all Heyting morphisms from H to the Heyting algebra $\mathcal{D}(P)$ of downward closed subsets of P). Both $\Phi(H)$ and Φ act on morphisms in the obvious way, by composition.

Φ is left exact and conservative.

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Bounded bisimulations can be introduced either via a recursive definition or via Ehrenfeucht-Fraïssé games.

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If $\langle p_1, q_1 \rangle, \dots, \langle p_i, q_i \rangle, \dots$ are the points chosen in the game, **Player 2** wins iff for every $i = 1, 2, \dots$, we have that $u(p_i) = v(q_i)$.

Games and Bounded Bisimulations

We say that

- $u \sim_{\infty} v$ iff *Player 2 has a winning strategy* in the above game with infinitely many moves;
- $u \sim_n v$ (for $n > 0$) iff *Player 2 has a winning strategy* in the above game with n moves, i.e. he has a winning strategy provided we stipulate that the game terminates after n moves;
- $u \sim_0 v$ iff $u(\rho(P)) = v(\rho(Q))$ (recall that $\rho(P), \rho(Q)$ denote the roots of P, Q).

We shall use the notation $[v]_n$ for the equivalence class of an L -valuation v via the equivalence relation \sim_n .

The Duality Statement

We say that a subsheaf S of the evaluations sheaf h_L has **b-index** n iff it has the following property:

$$v \in S(P) \ \& \ v \sim_n u \ \Rightarrow \ v \in S(Q)$$

(P, Q are the domains of $v \in h_L(Q), u \in h_L(P)$). If $S \subseteq h_L$ has b-index n for some n , it is said to be **definable**.

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Similarly a natural transformation among definable sheaves $S \subseteq h_L$ and $S' \subseteq h_{L'}$

$$\psi : S \longrightarrow S'$$

is said to **have b-index** m iff for every $v \in S(P)$ and $v' \in S(Q)$, we have that $v \sim_m v'$ implies $\psi_P(v) \sim_0 \psi_Q(v')$. Such a natural transformation is also said to be **definable**.

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Theorem

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A definable sheaf is the sheaf of finite models of a propositional formula. The b-index is related to the nested implications in the formula.

A definable natural transformation maps (via inverse image) definable sheaves to definable sheaves. Such a map is the **dual of a substitution**.

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Image Closure

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Theorem

Differences of subobjects exist in both $\mathcal{H}\mathcal{A}_{fp}^{op}$ and $Sh(P_0, J_0)$ (and Φ preserves them). Thus, the opposite lattice of a finitely presented Heyting algebra is also a Heyting algebra.

Image Closure

The above theorems are proved via combinatorial facts about our games. For instance, closure under images requires the following Lemma:

Lemma

Let $f : C \rightarrow D$ and let n be big enough to be a b -index for both f and C . Then there exists N such that whenever we have $v \sim_N f(u)$ for $u \in C_P$, $v \in D_Q$, there is $u' \in C_{P'}$, $u' \sim_n u$ such that $v \circ h = f(u')$, for some arrow $h : P' \rightarrow Q$ in P_0 .

The crucial ingredient in the proof is the notion of **n -rank** of an evaluation u : this is defined to be the cardinality non \sim_n -equivalent sub-evaluations obtained restricting u to the cone over a point $p \in \text{dom}(u)$.

Image Closure: Applications

We now investigate the logical meaning of the existence of images and dual images. This is equivalent to a Theorem by A. Pitts (1992):

Theorem

There is an interpretation of second order propositional intuitionistic calculus into ordinary intuitionistic calculus.

One can reformulate the above theorem also by saying that (IPC) enjoys **uniform interpolation**.

Image Closure: Applications

The interpretation of second order quantifiers maps an intuitionistic formula $\phi(x, \underline{y})$ to the intuitionistic formulae $\exists^x \phi(x, \underline{y}), \forall^x \phi(x, \underline{y})$ obtained as follows: i) one takes the definable sheaf corresponding to ϕ ; ii) computes its image and dual images along suitable projections; iii) converts back such images and dual images into the formulae they define.

The above procedure is effective, because the number N of the above Lemma (which can be effectively computed as the double of a suitable maximum n -rank) gives also a search bound for implication nestings.

Image Closure: Applications

We also have a model theoretic reformulation of the images closure theorem:

Theorem

The first-order theory of Heyting algebras admits a model completion.

This model-theoretic reformulation can be better understood in terms of constraint solving (by constraint we mean a system of equations and inequations).

Image Closure: Applications

In fact, it turns out that the constraint

$$t_1(\vec{a}, x) = 1 \ \& \ \cdots \ \& \ t_n(\vec{a}, x) = 1 \ \& \ u_1(\vec{a}, x) \neq 1 \ \& \ \cdots \ \& \ u_m(\vec{a}, x) \neq 1$$

with parameters \vec{a} from a Heyting algebra H is solvable in an extension of H iff the quantifier-free formula

$$(\exists^x \bigwedge_{i=1}^n t_i)(\vec{a}) = 1 \ \& \ (\forall^x (\bigwedge_{i=1}^n t_i \longrightarrow u_1))(\vec{a}) \neq 1 \ \& \ \cdots$$

$$\cdots \ \& \ (\forall^x (\bigwedge_{i=1}^n t_i \longrightarrow u_m))(\vec{a}) \neq 1$$

is true in H .

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[Darnière-Junker, Houston J. of Math., 2018] solved it positively for the 6 amalgamable locally finite varieties of Heyting algebras.

Existentially Closed Algebras

Let us call **existentially closed** an algebra H such that any constraint (with parameters from H) having a solution in a an extension of H has a solution in H itself.

What we have seen is an infinite axiomatization for the theory of existentially closed Heyting algebras. The problem whether a **finite** axiomatization exists is still **open**.

[Darnière-Junker, Houston J. of Math., 2018] solved it positively for the 6 amalgamable locally finite varieties of Heyting algebras.

[Carai-G., J. Symb. Log. 2019] solved it (also positively) for the case of Brouwerian Semilattices (i.e. the $\top, \wedge, \rightarrow$ -fragment of intuitionistic logic).

- 1 Intuitionistic Logic
- 2 Sheaf Representation and Duality
- 3 Images and Constraint Solving
- 4 Fixpoints and Periodicity**
- 5 Solving Equations via Projectivity

μ -Calculus Over Intuitionistic Logic

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$$\mu x.\phi(x, \underline{y}), \quad \nu x.\phi(x, \underline{y})$$

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Over (IPC), the μ -calculus collapses, as proved by [Mardaev, Algebra and Logic 1993], in the sense that $\mu x.\phi(x, \underline{y})$ and $\nu x.\phi(x, \underline{y})$ are always equivalent to plain intuitionistic formulae.

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In the case of μx , this means that the sequence of formulae

$$\phi_0 := \perp, \quad \phi_1 := \phi(\phi_0/x, \underline{y}), \quad \phi_2 := \phi(\phi_1/x, \underline{y}), \dots \quad (1)$$

becomes stationary (up to provable equivalence).

Ruitenburg Theorem

- We can deduce the collapse of μ -calculus from **Ruitenburg Theorem**: this is one of the most surprising results concerning intuitionistic propositional calculus (*IPC*).

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- It says the following:
- take a formula $\phi(x, \underline{y})$ of (*IPC*) (not necessarily one monotonic in x) and consider the sequence $\{\phi^i(x, \underline{y})\}_{i \geq 1}$ so defined:

$$\phi^1 := \phi, \quad \dots, \quad \phi^{i+1} := \phi(\phi^i / x, \underline{y}) \quad (2)$$

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- then, *taking equivalence classes under equivalence in (IPC), the sequence $\{[\phi^i(x, \underline{y})]\}_{i \geq 1}$ is ultimately periodic with period 2.*

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- then, *taking equivalence classes under equivalence in (IPC), the sequence $\{[\phi^i(x, \underline{y})]\}_{i \geq 1}$ is ultimately periodic with period 2.*
- The latter means that there is N such that

$$\vdash_{IPC} \phi^{N+2} \leftrightarrow \phi^N \quad . \quad (3)$$

Ruitenburg Theorem

- Since it is clear that $\phi^i(\perp/x, \underline{y}) = \phi_i$ and since the sequence (1) is increasing, we have

$$\vdash \phi_N \rightarrow \phi_{N+1} \quad \vdash \phi_{N+1} \rightarrow \phi_{N+2} \quad \vdash \phi_N \leftrightarrow \phi_{N+2}$$

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- We supply a **semantic proof** [G.-Santocanale, Math. Str. Comp. Sci. 2020], using our **duality** and **bounded bisimulations** machinery.
- Let us first analyze the (greatly simplified) case of classical logic.

The Algebraic Reformulation

In classical propositional calculus (*CPC*), Ruitenburg Theorem holds with index 1 and period 2, namely given a formula $\phi(x, \underline{y})$, we have that

$$\vdash_{CPC} \phi^3 \leftrightarrow \phi \quad (4)$$

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$$\vdash_{CPC} \phi^3 \leftrightarrow \phi \quad (4)$$

The first step is to re-interpret this statement in the category of finitely presented Boolean algebras (actually, finitely generated free algebras would suffice).

The Algebraic Reformulation

Let us denote by $\mathcal{A}[x]$ the *algebra of polynomials* over \mathcal{A} , i.e. the coproduct of the Boolean algebra \mathcal{A} with the free algebra on one generator (thus $\mathcal{F}_B(x, \underline{y})$ is equal to $\mathcal{F}_B(\underline{y})[x]$).

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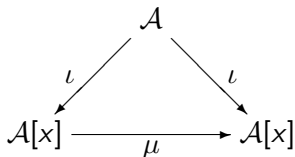
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A slight generalization of statement (4) now reads as follows:

- let \mathcal{A} be a finitely presented Boolean algebra and let the map $\mu : \mathcal{A}[x] \rightarrow \mathcal{A}[x]$ commute with the coproduct injection $\iota : \mathcal{A} \rightarrow \mathcal{A}[x]$



Then we have

$$\mu^3 = \mu . \tag{5}$$

Dualization

The latter is a purely categorical statement, so that we can re-interpret it in dual categories.

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Thus statement (5) now becomes the following trivial exercise:

- Let T be a finite set and let the function $f : T \times 2 \rightarrow T \times 2$ commute with the product projection $\pi_0 : T \times 2 \rightarrow T$

$$\begin{array}{ccc} T \times 2 & \xrightarrow{f} & T \times 2 \\ \pi_0 \searrow & & \swarrow \pi_0 \\ & T & \end{array}$$

Then we have

$$f^3 = f \quad . \quad (6)$$

Restating the Theorem for (IPC)

Considering that h_2 is the dual of the free algebra on one generator (2 is the 2-element chain), what we need to show is the following.



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Restating the Theorem for (IPC)

Considering that h_2 is the dual of the free algebra on one generator (2 is the 2-element chain), what we need to show is the following.

All natural transformations from $h_L \times h_2$ into itself, commuting over the first projection π_0 and having a b-index, are ultimately periodic with period 2.

Spelling this out, this means the following. Fix a natural transformation $\psi = \langle \pi_0, \chi \rangle : h_L \times h_2 \rightarrow h_L \times h_2$ having a b-index such that the diagram

$$\begin{array}{ccc} h_L \times h_2 & \xrightarrow{\psi} & h_L \times h_2 \\ \pi_0 \searrow & & \swarrow \pi_0 \\ & h_L & \end{array}$$

commutes; we have to find an N such that $\psi^{N+2} = \psi^N$.

A first approximation

It is useful, as a general strategy, to preliminarily study what happens keeping only the geometric structure (i.e. ignoring games and definability):

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Lemma

Let $\psi = \langle \pi_0, \chi \rangle : h_L \times h_2 \longrightarrow h_L \times h_2$ be a natural transformation. Then for all rooted finite poset P there is N_P such that $\psi^{N_P+2}(P) = \psi^{N_P}(P)$

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The proof is a moderate complication of what happens in the classical logic case (one can take N_P to be the height of P).

Ranks

Now the big jump:

Lemma

There is a (computable) N that does not depend on P in case ψ has a b -index.

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From this lemma, Ruitenburg's Theorem follows immediately. The lemma is proved via an appropriate notion of **rank**.

Some questions

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QUESTION: In our paper we also show that there are free Heyting algebras endomorphisms which are not ultimately periodic. *Is it possible to characterize those which are such? and to give estimates for indexes and periods?*

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Unification and Admissibility

Free algebras have special role in many logic applications. Solving a system of equations

$$(P) \quad t_1 = u_1 \ \& \ \cdots \ \& \ t_n = u_n$$

in the countably generated free algebra means finding a substitution σ such that

$$\vdash t_1\sigma \leftrightarrow u_1\sigma \ \& \ \cdots \ \& \ \vdash t_n\sigma \leftrightarrow u_n\sigma$$

This is called the **equational unification problem** in computer science.

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Proving that problem (P) has finitely many 'best solutions' (i.e. such that any other solution is an instance of such best ones) means showing that **unification for Heyting algebras is finitary**.

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If unification is finitary, one can show that an inference rule

$$\frac{\gamma_1, \dots, \gamma_n}{\delta} (R)$$

is **admissible** (i.e. does not alter the set of theorems) just by testing whether the finitely many best solutions of the conjunction of the antecedents produce theorems in (IPC) once applied to the conclusion.

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The solution via finitariness of unification goes through a characterization of **finitely presented projective Heyting algebras**.

This is another topic where our duality can help...

Characterizing duals of Projectives

Let C be a subsheaf of an evaluation sheaf h_L . We say that C has the **extension property** iff for every evaluation $v \in h_L(P)$ the following happens: if v_p (namely the restriction of v on the cone below p) belongs to C for all $p \in P$ different from the root of P , then there is $v' \in C$ such that $v'_p = v_p$ for all $p \in P$ different from the root of P .

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Theorem

A definable sheaf is dual to a finitely presented projective Heyting algebra iff it has the extension property. Such definable sheaves are closed under sheaf images.

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Theorem

A definable sheaf is dual to a finitely presented projective Heyting algebra iff it has the extension property. Such definable sheaves are closed under sheaf images.

It follows that every finitely generated Heyting algebra which is a subalgebra of a finitely presented projective Heyting algebra **is projective itself**.

Back to Unification

A solution (or unifier) to the unification problem

$$(P) \quad t_1(\underline{x}) = u_1(\underline{x}) \ \& \ \cdots \ \& \ t_n(\underline{x}) = u_n(\underline{x})$$

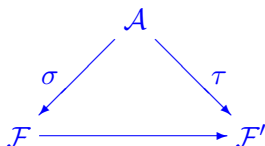
is (equivalently) a morphism

$$\sigma : \mathcal{A} \longrightarrow \mathcal{F}$$

among finitely presented algebras, where \mathcal{F} is a free algebra and \mathcal{A} is the free algebra over the \underline{x} divided by the smallest congruence relation generated by the pairs in (P) .

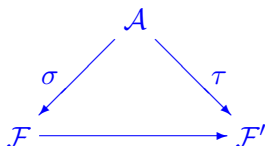
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A unifier σ is better than a unifier τ iff there is a commutative triangle

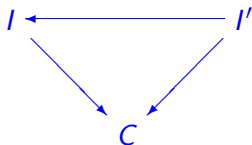


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One can show that free algebras can be replaced by projective ones here. Hence we can dualize



where C, I, I' are the definable sheaves dual to $\mathcal{A}, \mathcal{F}, \mathcal{F}'$.

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We only need a final fact: taking closure under \sim_n of a subsheaf with extension property maintains the extension property.

Thus “the best unifiers” have to be found among the definable subsheaves of C having the extension property and having a b-index less or equal to the b-index of C . **Since there are only finitely many of them, this proves finitariness of unification and solves also Friedman problem on admissibility.**

Conclusions

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To this aim, Grothendieck legacy might be quite precious.

THANKS FOR ATTENTION !